



## On Nicolas Criterion for the Riemann Hypothesis

---

Frank Vega

EasyChair preprints are intended for rapid dissemination of research results and are integrated with the rest of EasyChair.

November 6, 2023

# On Nicolas Criterion for the Riemann Hypothesis

Frank Vega<sup>1\*</sup>

<sup>1\*</sup>Research Department, NataSquad, 10 rue de la Paix, Paris, 75002, France.

Corresponding author(s). E-mail(s): [vega.frank@gmail.com](mailto:vega.frank@gmail.com);

## Abstract

The Riemann hypothesis is the assertion that all non-trivial zeros are complex numbers with real part  $\frac{1}{2}$ . It is considered by many to be the most important unsolved problem in pure mathematics. There are several statements equivalent to the famous Riemann hypothesis. For  $x \geq 2$ , the function  $f$  was introduced by Nicolas in his seminal paper as  $f(x) = e^\gamma \cdot \log \theta(x) \cdot \prod_{q \leq x} \left(1 - \frac{1}{q}\right)$ , where  $\theta(x)$  is the Chebyshev function,  $\gamma \approx 0.57721$  is the Euler-Mascheroni constant and  $\log$  is the natural logarithm. In 1983, Nicolas stated that if the Riemann hypothesis is false then there exists a real number  $b$  with  $0 < b < \frac{1}{2}$  such that, as  $x \rightarrow \infty$ ,  $\log f(x) = \Omega_\pm(x^{-b})$ . In this note, using the Nicolas criterion, we prove that the Riemann hypothesis is true.

**Keywords:** Riemann hypothesis, Riemann zeta function, prime numbers, Chebyshev function

**MSC Classification:** 11M26 , 11A41 , 11A25

## 1 Introduction

The Riemann hypothesis is a conjecture that the Riemann zeta function has its zeros only at the negative even integers and complex numbers with real part  $\frac{1}{2}$  [1]. It was proposed by Bernhard Riemann (1859) [1]. The Riemann hypothesis belongs to the Hilbert's eighth problem on David Hilbert's list of twenty-three unsolved problems [1]. This is one of the Clay Mathematics

## A Millennium Prize Problem

### 2 The Riemann hypothesis

Institute's Millennium Prize Problems [1]. In mathematics, the Chebyshev function  $\theta(x)$  is given by

$$\theta(x) = \sum_{q \leq x} \log q$$

with the sum extending over all prime numbers  $q$  that are less than or equal to  $x$ , where  $\log$  is the natural logarithm. Leonhard Euler studied the following value of the Riemann zeta function (1734).

**Proposition 1** *It is known that*[2, (1) pp. 1070]:

$$\zeta(2) = \prod_{k=1}^{\infty} \frac{q_k^2}{q_k^2 - 1} = \frac{\pi^2}{6},$$

where  $q_k$  is the  $k$ th prime number. By definition, we have

$$\zeta(2) = \sum_{n=1}^{\infty} \frac{1}{n^2},$$

where  $n$  denotes a natural number. Leonhard Euler proved in his solution to the Basel problem that

$$\sum_{n=1}^{\infty} \frac{1}{n^2} = \prod_{k=1}^{\infty} \frac{q_k^2}{q_k^2 - 1} = \frac{\pi^2}{6},$$

where  $\pi$  is a well-known irrational number linked to several areas in mathematics such as number theory, geometry, etc.

**Proposition 2** *For  $x \geq 3$  we have* [3, Lemma 6.4 pp. 370]:

$$\left( \prod_{q > x} \frac{q^2}{q^2 - 1} \right) \leq \exp\left(\frac{2}{x}\right),$$

where  $\exp(k)$  is the exponential function with value  $e^k$  and exponent  $k$ . Indeed, Choie and her colleagues proved that for  $x \geq 3$  and  $t \geq 2$ ,

$$\log(R_t(x)) \leq \frac{t \cdot x^{1-t}}{t-1},$$

where  $R_t(x)$  is given as

$$R_t(x) = \prod_{q > x} (1 - q^{-t})^{-1} = \prod_{q > x} \frac{q^t}{q^t - 1}.$$

Therefore, this Proposition is a particular case of their result applied to the specific value of  $t = 2$ .

The constant  $\gamma \approx 0.57721$  is the Euler-Mascheroni constant which is defined as

$$\gamma = \lim_{n \rightarrow \infty} \left( -\log n + \sum_{k=1}^n \frac{1}{k} \right)$$

$$= \int_1^\infty \left( -\frac{1}{x} + \frac{1}{[x]} \right) dx.$$

Here,  $[\dots]$  represents the floor function. In number theory,  $\Psi(n) = n \cdot \prod_{q|n} \left(1 + \frac{1}{q}\right)$  is called the Dedekind  $\Psi$  function where  $q | n$  means the prime  $q$  divides  $n$ . For  $x \geq 2$ , a natural number  $M_x$  is defined as

$$M_x = \prod_{q \leq x} q.$$

We define  $R(n) = \frac{\Psi(n)}{n \cdot \log \log n}$  for  $n \geq 3$ . We also define  $N_k = \prod_{i=1}^k q_i$  as the primorial number of order  $k$ , where we deduce that  $\log N_k = \theta(q_k)$ .

**Proposition 3** *Unconditionally on Riemann hypothesis, we know that [4, Proposition 3. pp. 3]:*

$$\lim_{x \rightarrow \infty} R(M_x) = \frac{e^\gamma}{\zeta(2)}.$$

Actually Solé and Planat proved that

$$\lim_{k \rightarrow \infty} R(N_k) = \frac{e^\gamma}{\zeta(2)}.$$

However, we already know that  $M_x = N_k$  whenever  $q_k \leq x$  and there is no other prime different of  $q_k$  in the interval  $[q_k, x]$ .

The well-known asymptotic notation  $\Omega$  was introduced by Godfrey Harold Hardy and John Edensor Littlewood [5]. In 1916, they also introduced the two symbols  $\Omega_R$  and  $\Omega_L$  defined as [6]:

$$f(x) = \Omega_R(g(x)) \text{ as } x \rightarrow \infty \text{ if } \limsup_{x \rightarrow \infty} \frac{f(x)}{g(x)} > 0;$$

$$f(x) = \Omega_L(g(x)) \text{ as } x \rightarrow \infty \text{ if } \liminf_{x \rightarrow \infty} \frac{f(x)}{g(x)} < 0.$$

After that, many mathematicians started using these notations in their works. For example, the well-known mathematician Edmund Landau widely used these symbols in his work [7]. From the last century, these notations  $\Omega_R$  and  $\Omega_L$  changed as  $\Omega_+$  and  $\Omega_-$ , respectively. There is another notation:  $f(x) = \Omega_\pm(g(x))$  (meaning that  $f(x) = \Omega_+(g(x))$  and  $f(x) = \Omega_-(g(x))$  are both satisfied). Nowadays, the notation  $f(x) = \Omega_+(g(x))$  has survived and it is still used in analytic number theory as [8]:

$$f(x) = \Omega_+(g(x)) \text{ if } \exists k > 0 \forall x_0 \exists x > x_0: f(x) \geq k \cdot g(x)$$

which has the same meaning to the Hardy and Littlewood older notation. For  $x \geq 2$ , the function  $f$  was introduced by Nicolas in his seminal paper as [9,

4 *The Riemann hypothesis*

Theorem 3 pp. 376], [10, (5.5) pp. 111]:

$$f(x) = e^\gamma \cdot \log \theta(x) \cdot \prod_{q \leq x} \left(1 - \frac{1}{q}\right).$$

Next, we have the Nicolas Theorem:

**Proposition 4** *If the Riemann hypothesis is false then there exists a real number  $b$  with  $0 < b < \frac{1}{2}$  such that, as  $x \rightarrow \infty$  [9, Theorem 3 (c) pp. 376], [10, Theorem 5.29 pp. 131],*

$$\log f(x) = \Omega_\pm(x^{-b}).$$

Putting all together yields a proof for the Riemann hypothesis.

## 2 Central Lemma

This is a key Lemma.

**Lemma 1** *If the inequality*

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \geq f(x)$$

*holds for large enough  $x \in \mathbb{N}$ , then the Riemann hypothesis is true.*

*Proof* By Proposition 4, if the Riemann hypothesis is false, then there exists a real number  $0 < b < \frac{1}{2}$  for which there are infinitely many natural numbers  $x \geq 2$  such that  $\log f(x) = \Omega_+(x^{-b})$ : Actually Nicolas proved that  $\log f(x) = \Omega_\pm(x^{-b})$ , but we only need to use the notation  $\Omega_+$  in this proof over the natural numbers. According to the known definition, this would mean that

$$\exists k > 0, \forall y_0 \in \mathbb{N}, \exists y \in \mathbb{N} (y > y_0): \log f(y) \geq k \cdot y^{-b}.$$

That inequality is equivalent to  $\log f(y) \geq (k \cdot y^{-b} \cdot \sqrt{y}) \cdot \frac{1}{\sqrt{y}}$ , but we note that

$$\lim_{y \rightarrow \infty} (k \cdot y^{-b} \cdot \sqrt{y}) = \infty > 70000000$$

for every possible positive value of  $k$  and  $b < \frac{1}{2}$ . Certainly, no matter how small we can select the absolute value of  $k$ , the exponent  $-b + \frac{1}{2}$  is always greater than 0 in the expression  $y^{-b + \frac{1}{2}} = y^{-b} \cdot \sqrt{y}$ . For that reason, we are able to assure that  $k \cdot y^{-b} \cdot \sqrt{y}$  goes to infinity whenever  $y$  tends to infinity. Thus, there must exist some value of  $y'$  such that for all natural numbers  $y > y'$  we obtain that the inequality  $k \cdot y^{-b} \cdot \sqrt{y} > 70000000$  always holds for an arbitrary value  $k > 0$  that we could choose: we pick up the number of 70 million for just simplifying and making a small tribute to the Chinese-American mathematician Yitang Zhang at the same time. In this way, this implies that

$$\forall y_0 \in \mathbb{N}, \exists y \in \mathbb{N} (y > y_0): \log f(y) > \frac{70000000}{\sqrt{y}}.$$

Note that, the variable  $k$  disappears in our previous expression due to we do not need it anymore. Hence, if the Riemann hypothesis is false, then there are infinitely many natural numbers  $x \geq 2$  such that  $\log f(x) > \frac{70000000}{\sqrt{x}}$ . So, if we have

$$\frac{70000000}{\sqrt{x}} \geq \log f(x)$$

for large enough  $x \in \mathbb{N}$ , then the Riemann hypothesis cannot be false. In fact, we would obtain that

$$\frac{70000000}{\sqrt{x}} \geq \log f(x) > \frac{70000000}{\sqrt{x}}$$

under the assumption of both conditions. By Reductio ad absurdum, the proof is done after applying the exponentiation to

$$\frac{70000000}{\sqrt{x}} \geq \log f(x)$$

in both sides of the inequality and obtain

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \geq f(x),$$

since  $\frac{70000000}{\sqrt{x}} > \frac{70000000}{\sqrt{x}}$  is a clear contradiction. □

### 3 Main Theorem

This is the main theorem.

**Theorem 1** *The Riemann hypothesis is true.*

*Proof* If the inequality

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \geq f(x)$$

holds for large enough  $x \in \mathbb{N}$ , then the Riemann hypothesis is true by Lemma 1. That previous inequality is the same as

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \cdot \frac{1}{f(x)} \geq 1.$$

We claim that

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \cdot \frac{1}{f(x)} \geq 1$$

is equivalent to

$$\frac{\exp\left(\frac{70000000}{\sqrt{x}}\right) \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right)}{e^\gamma} \cdot R(M_x) \geq 1.$$

By definition, we see that

$$\begin{aligned} \exp\left(\frac{70000000}{\sqrt{x}}\right) \cdot \frac{1}{f(x)} &= \exp\left(\frac{70000000}{\sqrt{x}}\right) \cdot \frac{1}{e^\gamma \cdot \log \theta(x) \cdot \prod_{q \leq x} \left(1 - \frac{1}{q}\right)} \\ &= \frac{\exp\left(\frac{70000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \frac{\prod_{q \leq x} \left(\frac{q}{q-1}\right)}{\log \theta(x)} \end{aligned}$$

6 *The Riemann hypothesis*

$$\begin{aligned}
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \frac{\prod_{q \leq x} \left(\frac{q+1}{q} \cdot \frac{q^2}{q^2-1}\right)}{\log \theta(x)} \\
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \frac{\left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \cdot \prod_{q \leq x} \left(\frac{q+1}{q}\right)}{\log \theta(x)} \\
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \cdot \frac{M_x \cdot \prod_{q|M_x} \left(1 + \frac{1}{q}\right)}{M_x \cdot \log \log M_x} \\
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \cdot \frac{\Psi(M_x)}{M_x \cdot \log \log M_x} \\
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}}\right)}{e^\gamma} \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \cdot R(M_x)
 \end{aligned}$$

after making some distribution. The inequality

$$\exp\left(\frac{700000000}{\sqrt{x}}\right) \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \geq \exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \zeta(2)$$

basically holds for large enough  $x \in \mathbb{N}$  by Propositions 1 and 2. This is because of

$$\begin{aligned}
 \exp\left(\frac{700000000}{\sqrt{x}}\right) &= \exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \exp\left(\frac{2}{x}\right) \\
 &\geq \exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \prod_{q > x} \frac{q^2}{q^2-1} \\
 &= \frac{\exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \zeta(2)}{\left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right)}
 \end{aligned}$$

for large enough  $x \in \mathbb{N}$ , since the inequality

$$\exp\left(\frac{700000000}{\sqrt{x}}\right) \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right) \geq \exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \zeta(2)$$

is the same as

$$\exp\left(\frac{700000000}{\sqrt{x}}\right) \geq \frac{\exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \zeta(2)}{\left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right)}.$$

The following result

$$\frac{\exp\left(\frac{700000000}{\sqrt{x}}\right) \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right)}{e^\gamma} \geq \exp\left(\frac{700000000}{\sqrt{x}} - \frac{2}{x}\right) \cdot \frac{\zeta(2)}{e^\gamma}$$

is evident as long as  $x$  increases and so,

$$\frac{\exp\left(\frac{700000000}{\sqrt{x}}\right) \cdot \left(\prod_{q \leq x} \frac{q^2}{q^2-1}\right)}{e^\gamma} \cdot R(M_x) \geq 1$$

necessarily holds for large enough  $x \in \mathbb{N}$ . Certainly, we only need to prove that

$$R(M_x) \geq \exp\left(\frac{2}{x} - \frac{700000000}{\sqrt{x}}\right) \cdot \frac{e^\gamma}{\zeta(2)}.$$

Hence, it is enough to show that

$$\frac{R(M_x)}{\frac{e^\gamma}{\zeta(2)}} \sim 1 > \exp\left(\frac{2}{x} - \frac{70000000}{\sqrt{x}}\right)$$

as  $x \rightarrow \infty$  by Proposition 3. In conclusion, we can affirm that the Riemann hypothesis is true because of

$$\exp\left(\frac{70000000}{\sqrt{x}}\right) \geq f(x)$$

feasibly holds for large enough  $x \in \mathbb{N}$ . □

## 4 Conclusions

Practical uses of the Riemann hypothesis include many propositions that are known to be true under the Riemann hypothesis and some that can be shown to be equivalent to the Riemann hypothesis. Indeed, the Riemann hypothesis is closely related to various mathematical topics such as the distribution of primes, the growth of arithmetic functions, the Lindelöf hypothesis, the Large Prime Gap Conjecture, etc. A proof of the Riemann hypothesis could spur considerable advances in many mathematical areas, such as number theory and pure mathematics in general.

## Acknowledgments

The author would like to thank Patrick Solé and Michel Planat for providing him part of the reference.

## Statements and Declarations

### Ethics approval and consent to participate

‘Not applicable’.

### Consent for publication

The author agrees that if the manuscript is accepted for publication in the Journal, then this one will be published under the Journal’s Copyright Policy, including the Agreement therein.

### Availability of data and materials

‘Not applicable’.

### Competing interests

‘Not applicable’.

### Funding

‘Not applicable’.



## Declaration of use of AI

‘Not applicable’.

## Authors’ contributions

The single author wrote and reviewed the main manuscript text.

## References

- [1] B. Conrey, *Riemann’s hypothesis* (Scuola Normale Superiore, 2015), pp. 109–117. [https://doi.org/10.1007/978-88-7642-515-8\\_6](https://doi.org/10.1007/978-88-7642-515-8_6)
- [2] R. Ayoub, Euler and the zeta function. *The American Mathematical Monthly* **81**(10), 1067–1086 (1974). <https://doi.org/10.2307/2319041>
- [3] Y. Choie, N. Lichiardopol, P. Moree, P. Solé, On Robin’s criterion for the Riemann hypothesis. *Journal de Théorie des Nombres de Bordeaux* **19**(2), 357–372 (2007). <https://doi.org/10.5802/jtnb.591>
- [4] P. Solé, M. Planat, Extreme values of the Dedekind  $\psi$  function. *Journal of Combinatorics and Number Theory* **3**(1), 33–38 (2011)
- [5] G.H. Hardy, J.E. Littlewood, Some problems of diophantine approximation: Part II. The trigonometrical series associated with the elliptic  $\vartheta$ -functions. *Acta mathematica* **37**(1), 193–239 (1914)
- [6] G.H. Hardy, J.E. Littlewood, Contributions to the theory of the Riemann zeta-function and the theory of the distribution of primes. *Acta Mathematica* **41**, 119–196 (1916)
- [7] E. Landau, Über die Anzahl der Gitterpunkte in gewissen Bereichen. *Nachrichten von der Gesellschaft der Wissenschaften zu Göttingen, Mathematisch-Physikalische Klasse* **1924**, 137–150 (1924)
- [8] G. Tenenbaum, *Introduction to Analytic and Probabilistic Number Theory*, vol. 163 (American Mathematical Soc., 2015)
- [9] J.L. Nicolas, Petites valeurs de la fonction d’Euler. *Journal of Number Theory* **17**(3), 375–388 (1983). [https://doi.org/10.1016/0022-314X\(83\)90055-0](https://doi.org/10.1016/0022-314X(83)90055-0)
- [10] K. Broughan, *Euler’s Totient Function* (Cambridge University Press, 2017), *Encyclopedia of Mathematics and its Applications*, vol. 1, pp. 94–143. <https://doi.org/10.1017/9781108178228.007>